

Items	Particulars	General Securities	RMB-Denominated Securities
		(Out-of-Pocket Expenses included) HK\$	(Out-of-Pocket Expenses included) RMB¥
<b>A. Trade-related Services (per transaction)</b>			
1. Brokerage Commission	Phone or at Branch's Counters ( per Total Consideration)	0.25% (Min. \$80)	0.25% (Min. ¥60)
a. Securities Traded in SEHK(except listed Bonds) (include Securities listed on SEHK, SSE/SZSE Sec. <sup>4</sup> & Nasdaq-Amex Pilot Program Stocks "Nasdaq")	Internet ( i-Web) (per Total Consideration) <sup>#</sup> CHS APP (per Total Consideration) <sup>#</sup> <small>#Orders never handled by our staff, which placed and modified (if any) via the same channel</small>	0.18% (Min. \$80) <sup>1</sup> 0.15% (Min. \$60) <sup>1</sup>	0.18% (Min. ¥60) <sup>1</sup> 0.15% (Min. ¥60) <sup>1</sup>
b. Listed Bonds on SEHK (e.g. HKGB)	Per Total Consideration	0.1% (Min. \$80) <sup>2</sup>	0.1% (Min. ¥80) <sup>2&amp;3</sup>
2. Stamp Duty (Not Applicable to Non-Levied Securities)	Per Total Consideration (rounded up to the nearest dollar)	0.1%	0.1% <sup>3</sup> ; (SSE/SZSE Sec.: 0.05% <sup>3</sup> for seller side only, round to the nearest cent)
3. Transaction Levy (except SSE/SZSE Sec.)/ Sec. Management Fee (SSE/SZSE Sec., only)	Per Total Consideration	0.0027%/ ---	0.0027% <sup>3</sup> / 0.002% (ETF Waive)
4. FRC Transaction Levy <sup>5</sup> (except SSE/SZSE Sec.)	Per Total Consideration (rounded to the nearest cent)	0.00015%	0.00015% <sup>3</sup>
5. Investor Compensation Levy (except SSE/SZSE Sec.)	Per Total Consideration (Temporarily Exempted, refer to the SFC's Announcement)	0.002%	0.002% <sup>3</sup>
6. Trading Fee (except SSE/SZSE Sec.)/ Handling Fee (SSE/SZSE Sec., only)	Per Total Consideration	0.00565% ---	0.00565% <sup>3</sup> / 0.00341% / 0.004% (ETF)
7. CCASS Stock Settlement Fee (except SSE/SZSE Sec.)/HKSCC Transfer Fee and ChinaClear Transfer Fee (SSE/SZSE Sec., only)	Per Total Consideration  Plus Handling Fee (for all transactions, except "Wealth Accum. Plan")	0.002% (Min. \$2 · Max. \$100)/ --- --- \$20	0.002% <sup>3</sup> (Min. ¥2 · Max. ¥100)/ 0.002% 0.001% (ETF Waive) ¥20
8. Transfer Deed Stamp Duty (Physical Scrip only)	Per certificate / for each Transfer Deed	\$5	¥5
<b>B. Scrip Handling and Settlement-related Services</b>			
1. Physical Scrip Withdrawal Fee			
a. General	Per Board Lot or Part Thereof of each stock Plus Handling Charge (per Stock) below: a. Collected at any Securities Center of CHS b. Collected at Head Office of CHS	\$3.5 \$100 \$50	¥3.5 ¥100 ¥50
b. "Nasdaq" Stocks	Per instruction	\$650 (Plus Out-of-Pocket Expenses)	NA
2. Physical Scrip Deposit Fee	Per Board Lot or Part Thereof of each stock Per Certificate or for each Transfer Deed	\$1.5 (Min. \$15) \$5	¥1.5 (Min. ¥15) ¥5
3. Settlement Instruction Fee (SI)			
a. Withdrawal Stock(s) being delivered from CHS (CCASS Participant No. B01183) to another CCASS Participant	Basic Handling Charge of CHS & CCASS (per Stock) Transaction Fee for CMU (per Stock) Settlement Fee: 0.002% of the gross value / SI transaction	\$80/¥80 (CHS charge) & \$1/¥1 (CCASS charge) \$20/¥20 (applicable for SI via CMU only) 0.002% (Min. \$2/¥2, Max. \$100/¥100)	
b. Deposit Stock(s) being received from another CCASS Participant to CHS (CCASS Participant No. B01183)	Basic Handling Charge of CHS & CCASS (per Stock) Transaction Fee for CMU (per Stock) Settlement Fee: 0.002% of the gross value for per SI transaction	\$10/¥10 (Max. \$200/¥200, CHS charge) & \$1/¥1 (CCASS charge) \$20/¥20 (applicable for SI via CMU only) 0.002% (Min. \$2/¥2, Max. \$100/¥100)	
4. Investor Settlement Instruction Fee (ISI)	Basic Handling Charge for stock deposit/withdrawal (per Stock)	\$30/¥30 (CHS charge) & \$1/¥1 (CCASS charge)	
5. HKD-CNY DTDC Transfer Fee ("DTDC" - Dual Tranche Dual Counter)	Via Trading (No need extra instruction only for settled shares in settlement day) Not Via Trading (Need extra instruction and executed after shares settled)	\$50/ each stock per each transfer	
6. Stocks Transfer (Internal)	From an Old Account to a New Account (Per Stock)		\$25/¥25
<b>C. On-line Real Time Quote Services</b>			
1. Snapshot Real Time Quote Service ("SRTQS")	Fee for each Snapshot Real Time Quote - Real time quote is defined as each price enquiry per stock (include updating each securities price in the page of "Watch List" set by the individual client). The real time quote service periods are 9:00 - 12:15 and 13:00 - 16:15. Monthly Usage of "SRTQS" can be monitored under the screen of "Quote Summary" <sup>1</sup>		\$0.15 / ¥0.15 for SSE/SZSE Sec. only (No Max. Charge Applied)
2. HSI Real Time Quote Service	Subscription Fee per Calendar Month <sup>1</sup> (for i-Web access only)		\$25
3. HSI & HSIF Real-time Quote Service	Subscription Fee per Calendar Month <sup>1</sup> (for i-Web access only)		\$65
4. i-Web (Streaming Quote Service)	Subscription Fee per Calendar Month <sup>1</sup> (for i-Web access only)		\$398
5. CHS APP (Streaming Quote Service)	Subscription Fee per Calendar Month <sup>1</sup> (for CHS APP access only)		\$398 / (Lite version \$88)
<b>D. IPO Services</b>			
IPO Subscription Handling Fee Per Application			
1. EIPO Services			
a. Online EIPO Services of i-Web / CHS APP	Regardless of the result of subscription and/or withdrawal of the IPO, all charges will not be refunded. Online EIPO Services and TIPO services are applicable only for clients who have signed the "Client Agreement" of versions July 2004 or later.	\$38	¥38
b. Telephone application (TIPO)		\$50	¥50
c. TIPO with signed IPO document (SIPO)		\$80	¥80
2. IPO with margin financing	Handling Fee + Interest Charge (Rate varies with market condition during IPO period)	\$100 + Interest	¥100 + Interest
3. Yellow Form	Clients are required to complete and check all filled information.	\$50	¥50
<b>E. Copies of Statements / Certified Letters</b>			
1. Duplicate Copy of Statements	a. Daily Statement (per Statement of each trading day) b. Monthly Statement (per Statement of each calendar month) c. Trading Records (per Statement of each calendar year)		\$30/Copy \$30/Copy \$60/Copy
2. Request for Copy of "SRTQS" Usage Report	Report per each Calendar Month		\$200/Copy
3. Certificate of Account Status or Balance	Per Letter		\$350/Letter
4. Audit Confirmation Request	Per account per request		\$350/Account per request
5. Mail extra copies of statements to the designated 3 <sup>rd</sup> party	Pre-paid for each calendar year without pro rata exemption and refund		\$350/Calendar year
<small>Remark 1. For details of the charges and special offers of the relevant services, please refer to Important Notice for Clients - Securities Account &amp; Other Services: i-Web and CHS APP Stock Trading Service in our website.          Remark 2. Buyer of Bonds has to pay Cumulative Interest to the Seller of Bonds in advance on the settlement date. Remark 3. Paid in RMB based on an exchange rate announced by the Hong Kong Exchange and Clearing Ltd. (HKEx) daily.          Remark 4. SSE/SZSE Sec. means securities listed and traded on the Shanghai Stock Exchange or Shenzhen Stock Exchange which may be traded by Hong Kong and overseas investors under the Shanghai-Hong Kong Stock Connect or the Shenzhen-Hong Kong Stock Connect respectively.          Remark 5. Effective from November 22, 2023, the name of Financial Reporting Council (FRC) will be changed to Accounting and Financial Reporting Council (AFRC).</small>			

## Service Charge Schedule

Items	Particulars	General Securities	RMB-Denominated Securities
		(Out-of-Pocket Expenses included) HK\$	(Out-of-Pocket Expenses included) RMB¥
<b>F. Service Charge for the "Wealth Accumulation Plan"</b>			
1. "Monthly stocks savings"	Per Purchase of each Stock , based on Total Consideration	0.25% (Min. \$38)	0.25% (Min. ¥38)
	Other transaction costs related to the securities dealing	Refer to the Applicable Items in Part A	
<b>G. Nominee Services and Corporate Actions</b>			
1. Scrip Fee			
a. Shares Deposited in CCASS	1. Per Board Lot or Part Thereof of each stock, or	\$1.5	¥1.5
	2. CCASS Deemed Book Close (Per Board Lot or Part Thereof of each stock) Plus CHS Handling Charge (per stock)	\$0.75 \$20	¥0.75 ¥20
b. Physical Scrip			
	i) General (Standard Registration Service)	10 Working Days : Per no.of Cert. counted by Registrars plus CHS Handling Charge (per stock)	\$2.5 \$50
ii) Express (Special Registration Service, subject to service provided)	6 Working Days : Per no.of Cert. counted by Registrars plus CHS Handling Charge (per stock)	\$3 \$50	¥3 ¥50
	3 Working Days : Per no.of Cert. counted by Registrars plus CHS Handling Charge (per stock)	\$20 \$50	¥20 ¥50
<b>For Corporate Actions of items 2-9 (including dividend, bonus shares, rights &amp; warrants distribution), shares need to be completed the registration &amp; transfer procedure and pay the scrip fee.</b>			
2. Dividend/ Debt Securities Interest			
a. General	Total Monies Received (per Stock)	0.25% (Min. \$15, Max. \$1,500)	0.25% (Min. ¥15, Max. ¥1,500)
b. Handling Charge of Exchange for settlement (If applicable)	For choosing non HK\$ cash dividend, client has to provide a CHB Multi-currency a/c (name consistent with the sec. a/c), otherwise this extra fee will be charged.	\$100	¥100
3. Bonus Shares Issue	Per Board Lot or Part Thereof of each stock	\$2 (Max. \$500)	¥2 (Max. ¥500)
4a. Rights Issue / Preferential Offer "PO"	Per Board Lot or Part Thereof of each stock	\$2 (Max. \$200)	¥2 (Max. ¥200)
	Handling Charge (per Stock)	\$20	¥20
b. Rights / PO Subscription	Handling Charge (per Stock) (non-refundable regardless of share allotted)	\$20	¥20
c. Excess Rights/ PO Subscription			
d. Shares Issued from Rights / PO Subscription	Per Board Lot or Part Thereof of each stock (Charged by CCASS, Non Ceiling Limit)	\$0.8	¥0.8
e. Shares from Rights/ Excess Rights/ PO Subscription	Per Board Lot or Part Thereof of each stock	\$2 (Max. \$500)	¥2 (Max. ¥500)
5a. Warrants Issue	Per Board Lot or Part Thereof of each stock	\$2 (Max. \$1,000)	¥2 (Max. ¥1,000)
b. Exercise Warrants	Handling Charge (per Stock)	\$20	¥20
c. Shares Issue from Warrants Subscription	Per Board Lot or Part Thereof of each stock (Non Ceiling Limit for CCASS charge)	\$2 (Max. \$500, CHS charge) & \$0.8 (CCASS charge)	¥2 (Max. ¥500, CHS charge) & ¥0.8 (CCASS charge)
6a. Exercise Equity Linked Instruments	Handling Charge (per Stock)	\$20	¥20
b. Cash/Shares Settlement for ELI	Per Board Lot or Part Thereof of each stock	\$0.8	¥0.8
c. Shares Issue from ELI	Per Board Lot or Part Thereof of each stock	\$2	¥2
7. Shares Consolidation/ Split	Handling Charge (per Stock)	\$20	¥20
8. Shares/Cash Offer (Conditional / Unconditional)	Per Board Lot or Part Thereof of each stock	\$0.8	¥0.8
	Handling Charge (per Stock)	\$20	¥20
9. "Nasdaq" Stocks			
a. Handling Fee for Warrant Conversion, Voluntary Takeover, Tender Offers or Open Offers	Per Instruction	\$650 (plus Out-of-Pocket Expenses)	NA
b. Cross-Border Transfer Fee (Receive & Delivery)	Per Instruction	\$300 (plus Out-of-Pocket Expenses)	NA
10. Custody Fee			
a. Non-foreign Securities (Semi-annual fee) (e.g. SSE/SZSE Sec., stocks, warrants, callable bull or bear contracts, and all funds, etc. but all listed bonds are excluded and will be charged separately.)	For each sec. a/c with any type of non-foreign sec. and/ or SSE/SZSE Sec. held on the last trading day of the Hong Kong sec. market in each record month (i.e. June and December) of a calendar year. Exemption Scheme: If one trading transactions (except all non-trading transactions such as IPO applications, share placing, exercise of warrants, settlement instructions, deposit or withdrawal of physical scrip, etc) executed in the first half (Jan to Jun) or the second half (Jul to Dec) of the year, the respective semi-annual custody fee will be waived.		\$100
b. Listed Bonds (Monthly Fee) (e.g. EFN, i-Bond, Government Bonds)	Nominal Value of each bond as of the record on the last trading day of each Calendar Month.		0.012% p.a. (Min. \$10/¥10) (Currency denomination of the charge is as same as that of the bond)
c. "Nasdaq" Stocks (Monthly Fee)	Stock Balance (per Stock) for each Calendar Month	\$20	NA
d. Physical Scrip / Foreign Sec. (Semi-annual fee) (Exclude "Nasdaq" Stocks)	Per Board Lot of each stock as of the record on the last trading day of May and November. Fee is then charged on the 1 <sup>st</sup> trading day of June and December.	\$0.2 (Min. \$20)	NA
11. Auto Convert/Redeem (Bonds Only)	Per Instruction / Announcement	\$50	¥50
<b>H. Financing Services</b>			
1. Margin Account Finance Charge	Prime Interest Rate plus 3% per annum (Actual rate will be adjusted according to the Prime Rate of CHB Ltd. occasionally)		P+3.0%p.a.
2. Cash Account Overdue Interest	Unsettled balance (Prime Interest Rate plus 6% per annum) Plus Handling Charge for Late Settlement	P + 6% p.a. \$50	P + 6% p.a. ¥50
<b>I. Other services</b>			
1. Handling Charge of Exchange for settlement ("settl." - settlement)	In case of insufficient fund in designated RMB settl. a/c in T+1 (for SSE /SZSE Sec.) or T+2 settl. date (for non SSE/SZSE Sec.), CHS has the rights but no duties or responsibilities to purchase sufficient RMB on behalf of the client based on the exchange rate quoted by CHB Ltd. by withdrawing HK dollars from the client's designated settl. a/c..	NA	¥100
2. Dividend/ Bonus Shares Claim (Fee will be charged no matter successful or not)	Per claim of each stock and ascending date Plus CCASS charge	\$500/¥500 (recent 2 years) \$1,000/¥1,000 (over 2 years)	not less than \$200/¥200
3. Compulsory Share Buy-back Fee	All costs aroused from CCASS fine for late settl. by the end of settl. day for every overdue short position or compulsory buy-in owing to Client's default (e.g. invalid signature on Physical Scrip or Form of Transfer, late delivery of SI Forms or Stock Withdrawal Forms which result in late stock withdrawal from other stock custodian etc.)		Case by Case
***CHS reserves the right to change the above service charges from time to time***			